



Revised November 2003

HALIFAX, NOVA SCOTIA

No. _____

Application for Lost Document Bond

Agent/Broker: _____ Agent/Broker knows Applicant since: _____
 Open Penalty Bond Fixed Penalty \$ _____
Agent Recommendation _____

To avoid delay, Please answer each question clearly and fully. Thank you

Full Name of Applicant: _____ Date of Birth: _____
Full Residence Address: _____
Occupation and Employer (last one if retired): _____

Description of Lost Document

- a. Serial Number of Stock Certificate or Bond: _____
Name of Issuer: _____
- b. Number of Shares: _____ Common; Preferred
Present Market Value: _____
- c. Was the Document: in bearer form; a Street Certificate, fully registered.
- d. Name in which registered: _____
(state exactly as it appears on the Document)
- e. Was Document endorsed? _____ Transferred? _____ Pledged? _____
Accompanied by a power or attorney? _____
- f. Approximate date of last dividend payment received by you: _____
- g. Name of Registrar: _____
- h. Name of Transfer Agent: _____
- i. Was the Issuer or Transfer Agent notified? Yes No
- j. Was a Stop Transfer put on it: Yes No
Please attach copy of Transfer Agent's letter and of its enclosures.

If Lost Document is other than a Stock Certificate or a Bond, please describe fully _____

Was the Document Stolen Destroyed Lost

Please describe **fully and in detail** the circumstances involved: _____

When was the Loss discovered? _____

Has Applicant ever been refused a Bond in this or any other connection? Yes No

If yes, please give details: _____

Name, address and telephone number of your Bank: _____

Name, address and daytime telephone number of two references (not relatives) whom you have known for the last five years: _____

I do Solemnly Declare:

1. That I am the legal and beneficial owner of the above mentioned document, as described below.
2. That my possession and ownership of the above mentioned document has been undisturbed throughout by any action, suit or any other proceeding on the part of any person whomsoever and during my possession and ownership of the document no payment has ever been made and no acknowledgment of title has been given by me, or, so far as I know, by anyone else, to any person in respect of any right, title, interest or claim upon the said document and/or proceeds thereunder.
3. That to the best of my knowledge and belief the above mentioned document has been lost or inadvertently destroyed and I have no knowledge of its existence or location.
4. I warrant that the statements made in the foregoing application are true, and in consideration of the Surety consenting or agreeing to execute, procure or guarantee the Bond herein applied for, I do hereby covenant, promise on behalf of myself, my heirs, executors, administrators, successors and assigns and agree to pay the premium (s), to comply with all the conditions established by the Surety for its own protection, and to indemnify, and to keep indemnified, the said Surety, its Co-Surety, Fronting Company or Reinsurer, their heirs, executors, administrators, successors and assigns from and against all loss, costs, charges, suits, damages, counsel fees and expenses or whatever kind or nature which said Surety, its Co-Surety, Fronting Company or Reinsurer, their heirs, executors, administrators, successors and assigns, shall or may, for any cause, at any time sustain or incur or be put to for or by reason or in consequence of the said Surety, its Co-Surety, Fronting Company or Reinsurers, their heirs, executors, administrators, successors and assigns, having entered into, procured or executed said Bond or other Bond(s) or other obligations issued in addition to or in lieu thereof. And in case the said Document herein referred to, alleged to have been lost or destroyed, should come into my possession, I agree to immediately forward the same to the Head Office of the Surety, its heirs, executors, administrators, successors and assigns or if I obtain knowledge that the said Document is still in existence to immediately notify the Head Office of the Surety, its heirs, executors, administrators, successors and assigns acquainting them with the facts and particulars which I have in connection with the existence and location of the said Document.

AND I make this Solemn Declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

I authorize use of the information requested on this form by Western Surety Company ("WSC") for the purposes of processing the application to which it relates, risk assessment, providing services, investigating claims, processing claims and any other purpose authorized by law (each, an "Authorized Purpose"). I authorize access to, and disclosure of, this information to those WSC employees, contractors, administrators, reinsurers and agents who have a need to know for any Authorized Purpose and to any other person as authorized by law. I authorize WSC to use any information about me contained in WSC's existing files for any Authorized Purpose. To the extent I am providing any information on this form about a third party, I confirm I have the authority to provide such information.

I authorize WSC and its agents to periodically investigate my credit history, financial standing, character and reputation for any Authorized Purpose. I authorize any credit reporting agency, financial or other institution with whom I have had dealings and any reference provided by me to disclose to WSC and its agents any information about me in connection with any such investigation.

If the current Market Value of all Lost Documents combined is less than \$5,000, complete this Section and **have it witnessed.**

Signed and dated this _____ day of _____ in the year _____

Print Name of Witness

Applicant's Signature

Witness's Signature

Please attach copies of all documents you received from the Transfer Agent or the Trust Company (including the photocopy of the notarized affidavit) as they usually contain instructions required by the Bonding Company.

If the current Market Value of all Lost Documents combined is \$5,000 or more, complete this Section and **have it notarized.**

Declared before me at the _____ of _____ in the Province of _____ this _____ day of _____ in the year _____

Signed and dated this _____ day of _____ in the year _____

Applicant's Signature

Signature and Seal

Please attach copies of all documents you received from the Transfer Agent or the Trust Company (including the photocopy of the notarized affidavit) as they usually contain instructions required by the Bonding Company.

* Please complete the attached Personal Statement Form or a similar current financial statement to support the application.